

JC

02/02/2004 11:10

JCWSCS 02 FEB 2004

8582024802

ILLUMINA INC

PAGE 01/05



illumina, Inc  
9885 Towne Centre Drive  
San Diego, CA 92121-1975  
tel 858-202-4500  
fax 858-202-4599

### FACSIMILE TRANSMITTAL SHEET

TO:	Filing Receipt and Corrections Division	FROM:	Rosalyn M. Espejo
COMPANY:	U.S. Patent and Trademark Office	DATE:	02/02/04
FAX NUMBER:	703-746-9195	TOTAL NO. OF PAGES INCLUDING COVER:	5
PHONE NUMBER:	703-308-1202	SENDER'S PHONE NUMBER:	858-202-4556
RE:	Serial No. 10/681,800	CC:	

☐ URGENT    ☒ FOR REVIEW    ☐ PLEASE COMMENT    ☐ PLEASE REPLY    ☐ PLEASE RECYCLE

NOTES/COMMENTS:

**Please see attached re: Serial No. 10/681,800**

CONFIDENTIAL

The documents accompanying this facsimile transmission may include confidential information.

PAGE 1/5 \* RCVD AT 2/2/2004 2:10:39 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-2/4 \* DNIS:7469195 \* CSID:8582024802 \* DURATION (mm-ss):02-04

PATENT  
Docket No.: 01-00006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*In re* application of:

Kevin GUNDERSON *et al.*

Serial No.: 10/681,800

Filed: October 8, 2003

For: METHODS AND  
COMPOSITIONS FOR WHOLE  
GENOME AMPLIFICATION AND  
GENOTYPING

Examiner: UNKNOWN

Group Art Unit: 1645

CERTIFICATE OF TRANSMISSION

I, Rosalyn Y. Mercado, hereby certify that this  
correspondence, including listed enclosures, is being  
facsimile transmitted to the United States Patent and  
Trademark Office on February 2, 2004.

Signed:   
Rosalyn M. Espejo

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicant has noted that there are errors in the official Filing Receipt. Therefore, Applicant requests that the Filing Receipt be corrected to reflect the correct data as follows.

**Under "Domestic Priority data as claimed by applicant"**

Please delete the following information:

This application is a CON of 10/600,364 06/21/2003\*

(\*) Data provided by applicant is not consistent with PTO records.

And replace to read as follows:

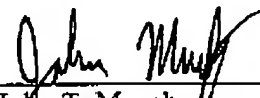
This application is a CON of 10/600,634 06/20/2003

Serial No.: 10/681,800  
Filed: October 8, 2003

A marked-up copy of the Filing Receipt showing the changes requested is included.  
While no fee is believed to be due with this submission, the Commissioner is authorized to charge any fee required to Deposit Account No. 502690.

Respectfully submitted,

Date: February 2, 2004

  
\_\_\_\_\_  
John T. Murphy  
Reg. No. 50,583  
Patent Agent

**Illumina, Inc.**  
9885 Towne Centre Dr.  
San Diego, CA 92121-1975  
Telephone: (858) 202-4711  
Facsimile: (858) 202-4599  
(Customer No. 29389)



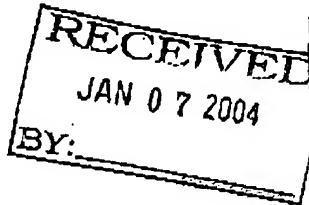
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

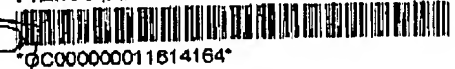
APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/681,800	10/08/2003	1645	1027	01-00006	9	77	6

CONFIRMATION NO. 6627

29389  
ILLUMINA, INC.  
9885 TOWNE CENTRE DRIVE  
SAN DIEGO, CA 92121-1975



## FILING RECEIPT



\*0000000011614164\*

Date Mailed: 01/05/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin Gunderson, Encinitas, CA;  
Frank Steemers, San Diego, CA;

## Assignment For Published Patent Application

Illumina, Inc., San Diego, CA;

## Domestic Priority data as claimed by applicant

~~This application is a CON of 10/680,364 06/21/2003~~

~~(c) Data provided by applicant is not consistent with PTO records.~~

This application is a CON of 10/600,634

06/20/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 01/03/2004

Projected Publication Date: 12/23/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

## Methods and compositions for whole genome amplification and genotyping

Preliminary Class

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10- (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).